

19 JAN 2006

CASE EL/2-22880/A/PCT

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Andrea DeCesaris  
Type or print name

Andrea DeCesaris  
Signature

1/16/06  
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PCT NATIONAL STAGE APPLICATION OF

Group Art Unit:

JONATHAN ROGERS ET AL.

Examiner:

INTERNATIONAL APPLICATION NO. PCT/EP

2004/050606

FILED: APRIL 26, 2004

FOR: NOVEL POLYMERS COMPRISING

BENZOTRIAZOLE FOR USE IN OPTICAL  
DEVICES

U.S. APPLICATION NO: 10/553,775

35 USC 371 DATE: OCTOBER 20, 2005

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 CFR 1.56, Applicants wish to call the Examiner's attention to the references cited on the attached form PTO-1449. A copy of the International Search Report was supplied, when this application entered the U.S. national phase.

The International Search Report indicates that U.S. 6,392,056 is a substantively cumulative English language counterpart of WO 02/12204.

Copies of the non-U.S. references (that do not have U.S. equivalents) and/or English language abstracts thereof are enclosed herewith.

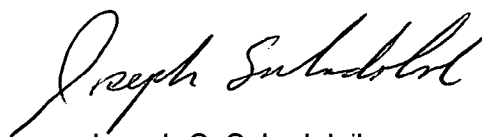
A copy of a European Search Report recently received is also enclosed.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Ciba Specialty Chemicals Corporation  
Patent Department  
540 White Plains Road  
P.O. Box 2005  
Tarrytown, NY 10591-9005  
Tel. (914) 785-2973  
Fax (914) 785-7102  
PTO 1449 forms and refs.



Respectfully submitted,



Joseph C. Suhadolnik  
Agent for Applicants  
Reg. No. 56,880  
filed under 37 CFR 1.34(a)

FORM PTO-1449  INFORMATION DISCLOSURE CITATION IN AN APPLICATION  (Use several sheets if necessary)	Docket Number (Optional) EL/2-22880/A/PCT	Application Number 10/553,775
	Applicant JONATHAN ROGERS ET AL.	
	Filing Date OCTOBER 20, 2005	Group Art Unit

## U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,451,887	9/02	Wood et al.	524	91	
	6,392,056	5/02	Wood et al.	548	260	

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	02/12204	2/02	PCT/WORLD			=	
	97/12882	4/97	PCT/WORLD				
	01/49768	7/01	PCT/WORLD				
	26 20 970	11/76	GERMANY			ABST.	

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	DERWENT ABSTRACT NO. 90933X/49 (11/1976) OF DT 26 20 970
	S. Yoshida et al. "Functional Polymers. XII: Synthesis and Polymerization of 2(2-Vinyl-4-hydroxyphenyl) 2H-benzotriazole and 2(3-vinyl-4-hydroxyphenyl) 2H-benzotriazole; Monatshefte für Chemie 113, pp. 603-622 (5/1982)
	S. Li et al. "Functional Polymers. XX1; Synthesis of compounds with more than one benzotriazole group in the molecule" Monatshefte für Chemie 114, pp. 937-951(1/1983)

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

<b>FORM PTO-1449</b>  <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  (Use several sheets if necessary)			Docket Number (Optional) <b>EL/2-22880/A/PCT</b>		Application Number <b>10/553,775</b>	
			Applicant <b>JONATHAN ROGERS ET AL.</b>			
			Filing Date <b>OCTOBER 20, 2005</b>		Group Art Unit	

U. S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)	
	R. Gompper et al., "Chemistry and nonlinear optical properties of new 2H-benzotriazole derivatives" Tetrahedron, Vol. 52, No. 46, pp. 14607-14624, 11/1996

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.